

Please type a plus sign (+) inside the box.

Approved for release through 09/30/00. OMB 0651-0032  
U.S. Patent and Trademark Office DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

SAS-0205

First Inventor

Taizo NODA

Title

SURFACE TREATING AGENT FOR LIGNEOUS FLOORING  
PROCESS FOR MANUFACTURING THE SAME

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

**ADDRESS**

TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets ☐
5. ☒ Oath or Declaration Total Pages ☐ 2
- a. ☒ Newly Executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(For continuation/divisional with Box 17 completed)
- 1 ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application
6. ☐ Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies)
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers
10. ☐ 37 CFR 3.73(b) Statement (when there is no assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if Available)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

**18. CORRESPONDENCE ADDRESS**

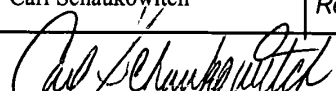
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or ☒ Correspondence address below

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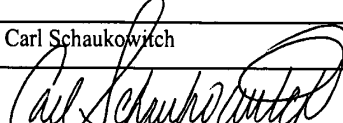
NAME	Carl Schaukowitch RADER, FISHMAN & GRAUER, PLLC		
ADDRESS	1233 20 <sup>th</sup> Street, NW, Suit 501,		
CITY	Washington	STATE	DC
COUNTRY	United States of America	PHONE	(202) 955-3750
		ZIP CODE	20036
		FAX	(202) 955-3751

Name (Print/type)	Carl Schaukowitch	Registration No.	29,211
Signature		Date	December 17, 2001

12/17/01  
J1131 U.S. PTO

12/17/01

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FEE TRANSMITTAL for FY 2000				Complete if Known																																																																																																																																																																																																																																																																																							
<p><i>Patent fees are subject to annual revision.</i> <i>Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SBO/09-12.</i></p> <p><b>TOTAL AMOUNT OF PAYMENT</b> (\$ 1060.00)</p> <p><b>METHOD OF PAYMENT</b> (check one)</p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 18-0013 Deposit Account Name: Rader, Fishman and Grauer PLLC</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p><b>FEE CALCULATION</b></p> <p>1. <b>BASIC FILING FEE</b></p> <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee (\$)</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>201</td><td>740</td><td>370</td><td>Utility filing fee</td><td>740</td></tr><tr><td>106</td><td>206</td><td>330</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>207</td><td>510</td><td>255</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>208</td><td>740</td><td>370</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>214</td><td>160</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5"><b>SUBTOTAL (1)</b></td><td><b>(\$ 740)</b></td></tr><p>2. <b>EXTRA CLAIM FEES</b></p><table border="1"><thead><tr><th>Total Claims</th><th>Extra</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>7</td><td>-20=</td><td>0</td><td>X 18 = 0</td></tr><tr><td>2</td><td>-3=</td><td>0</td><td>X 84 = 0</td></tr><tr><td colspan="3"></td><td>280</td></tr></tbody></table><p><i>**or number previously paid, if greater; For Reissues, see below.</i></p><table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee (\$)</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103</td><td>203</td><td>18</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102</td><td>202</td><td>84</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104</td><td>204</td><td>280</td><td>140</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109</td><td>209</td><td>84</td><td>42</td><td>**Reissue independent claims over original patent</td><td></td></tr><tr><td>110</td><td>210</td><td>18</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="5"><b>SUBTOTAL (2)</b></td><td><b>\$280.00</b></td></tr></tbody></table><p><b>SUBMITTED BY</b></p><p>Typed or Printed Name: Carl Schaukowitch Signature:  Date: December 17, 2001</p><p><b>3. 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No. 29,211 Deposit Account User ID: 18-0013</p><p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit</b></p></tbody></table>				Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	101	201	740	370	Utility filing fee	740	106	206	330	165	Design filing fee		107	207	510	255	Plant filing fee		108	208	740	370	Reissue filing fee		114	214	160	80	Provisional filing fee		<b>SUBTOTAL (1)</b>					<b>(\$ 740)</b>	Total Claims	Extra	Fee from below	Fee Paid	7	-20=	0	X 18 = 0	2	-3=	0	X 84 = 0				280	Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	103	203	18	9	Claims in excess of 20		102	202	84	42	Independent claims in excess of 3		104	204	280	140	Multiple dependent claim, if not paid		109	209	84	42	**Reissue independent claims over original patent		110	210	18	9	**Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (2)</b>					<b>\$280.00</b>	Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	105	205	130	65	Surcharge - late filing fee or oath		127	227	50	25	Surcharge - late provisional filing fee or cover sheet.		139	139	130	130	Non-English specification		147	147	2,520	2,520	For filing a request for reexamination		112	112	920*	920*	Requesting publication of SIR prior to Examiner action		113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action		115	215	110	55	Extension for reply within first month		116	216	380	190	Extension for reply within second month		117	217	870	435	Extension for reply within third month		118	218	1,360	680	Extension for reply within fourth month		128	228	1,850	925	Extension for reply within fifth month		119	219	300	150	Notice of Appeal		120	220	300	150	Filing a brief in support of an appeal		121	221	260	130	Request for oral hearing		138	138	1,510	1,510	Petition to institute a public use proceeding		140	240	110	55	Petition to revive - unavoidable		141	241	1,210	605	Petition to revive - unintentional		142	242	1,210	605	Utility issue fee (or reissue)		143	243	430	215	Design issue fee		144	244	580	290	Plant issue fee		122	122	130	130	Petitions to the Commissioner		123	123	50	50	Petitions related to provisional applications		126	126	240	240	Submission of Information Disclosure Stmt		581	581	40	40	Recording each patent assignment per property (times number of properties)	40.00	146	146	790	395	Filing a submission after final rejection (37 CFR 1.129(a))		149	149	790	395	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____						Other fee (specify) _____						<b>SUBTOTAL (3)</b>					<b>(\$ 40.00)</b>
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